

Brochure Supplements

This brochure supplement provides information about Jason Thonssen that supplements the Ballast Rock Private Wealth LLC brochure. You should have received a copy of that brochure. Please contact Jason Thonssen if you did not receive Ballast Rock Private Wealth LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Jason Thonssen is also available on the SEC's website at www.adviserinfo.sec.gov.

Ballast Rock Private Wealth LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Jason Thonssen

Personal CRD Number: 6920088
Investment Adviser Representative

Ballast Rock Private Wealth LLC
460 King St., Suite 200
Charleston, SC 29403
(425) 650-2779
<https://www.ballastrockpw.com/>
jason.thonssen@ballastrockpw.com

UPDATED: 05/11/2023

Item 2: Educational Background and Business Experience

Name: Jason Thonssen **Born:** 1991

Educational Background and Professional Designations:

Education:

Bachelor's Degree in Sociology, Gonzaga University - 2013

Business Background:

08/2022 - Present	Investment Adviser Representative Ballast Rock Private Wealth LLC
11/2020 – 07/2022	Investment Adviser Representative Coldstream Wealth Management
02/2016 - 11/2020	Investment Adviser Representative Integrity Financial Corporation

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Jason Thonssen is not engaged in any business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Jason Thonssen does not receive any economic benefit from any person, company, or organization, other than Ballast Rock Private Wealth LLC in exchange for providing clients advisory services through Ballast Rock Private Wealth LLC.

Item 6: Supervision

As the Chief Compliance Officer of Ballast Rock Private Wealth LLC, Jason Thonssen supervises all activities of the firm. Jason Thonssen's contact information is on the cover page of this disclosure document. Jason Thonssen adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual. While no one is directly supervising Jason's investment advice to clients, Jason consults with other investment adviser representatives and our investment advisory committee prior to providing advice.

This brochure supplement provides information about Christian Dan Salomone that supplements the Ballast Rock Private Wealth LLC brochure. You should have received a copy of that brochure. Please contact Christian Dan Salomone if you did not receive Ballast Rock Private Wealth LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Christian Dan Salomone is also available on the SEC's website at www.adviserinfo.sec.gov.

Ballast Rock Private Wealth LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Christian Dan Salomone

Personal CRD Number: 3110535

Investment Adviser Representative

Ballast Rock Private Wealth LLC
460 King Street Suite 200
Charleston, SC 29403
(917) 721-5872
<https://www.ballastrockpw.com/>
christian@ballastrockpw.com

Item 2: Educational Background and Business Experience

Name: Christian Dan Salomone **Born:** 1973

Educational Background and Professional Designations:

Education:

Bachelor of Science Applied Mathematics, Yale University - 1996

Business Background:

01/2023 - Present	Investment Adviser Representative Ballast Rock Private Wealth LLC
04/2023 - Present	Registered Representative Ballast Rock Capital LLC
08/2022 – 01/2023	Investment Analyst Ballast Rock Private Wealth LLC
12/2021 – 11/2022	Registered Representative Independent Brokerage Solutions
01/2020 - 12/2021	NA Private Investor
05/2019 - 01/2020	Managing Director Bank of America
07/2017 - 05/2019	NA Private Investor
07/2000 - 07/2017	Managing Director Goldman Sachs

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Christian Dan Salomone is a registered representative of Ballast Rock Capital LLC and Independent Brokerage Solutions.

Item 5: Additional Compensation

As a registered representative of Ballast Rock Capital LLC Christian Salomone accepts compensation for the sale of securities.

Item 6: Supervision

As a representative of Ballast Rock Private Wealth LLC, Christian Dan Salomone is supervised by Jason Thonssen, the firm's Chief Compliance Officer. Jason Thonssen is responsible for ensuring that Christian Dan Salomone adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jason Thonssen is (425) 650- 2779.

This brochure supplement provides information about Andrew James Mescon that supplements the Ballast Rock Private Wealth LLC brochure. You should have received a copy of that brochure. Please contact Andrew James Mescon if you did not receive Ballast Rock Private Wealth LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Andrew James Mescon is also available on the SEC's website at www.adviserinfo.sec.gov.

Ballast Rock Private Wealth LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Andrew James Mescon

Personal CRD Number: 4396288

Investment Adviser Representative

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(917) 970-1453
<https://www.ballastrockpw.com/>
andrew.mescon@ballastrockpw.com

UPDATED: 05/11/2023

Item 2: Educational Background and Business Experience

Name: Andrew James Mescon **Born:** 1978

Educational Background and Professional Designations:

Education:

MBA Finance, Pepperdine University - 2012 MPA Public
Finance, Columbia University - 2005 BA Political
Science, Trinity College - 2000

Business Background:

09/2022 - Present	Investment Adviser Representative Ballast Rock Private Wealth LLC
09/2022 - Present	CEO Ballast Rock Private Wealth
06/2013 - 08/2022	Investment Adviser Representative Integrity Financial Corp.
09/2012 - 04/2012	Student Pepperdine University

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Andrew James Mescon is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Andrew James Mescon does not receive any economic benefit from any person, company, or organization, other than Ballast Rock Private Wealth LLC in exchange for providing clients advisory services through Ballast Rock Private Wealth LLC.

Item 6: Supervision

As a representative of Ballast Rock Private Wealth LLC, Andrew James Mescon is supervised by Jason Thonssen, the firm's Chief Compliance Officer. Jason Thonssen is responsible for ensuring that Andrew James Mescon adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as

all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jason Thonssen is (425) 650-2779.

This brochure supplement provides information about James Bernard Carroll that supplements the Ballast Rock Private Wealth LLC brochure. You should have received a copy of that brochure. Please contact James Bernard Carroll if you did not receive Ballast Rock Private Wealth LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about James Bernard Carroll is also available on the SEC's website at www.adviserinfo.sec.gov.

Ballast Rock Private Wealth LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

James Bernard Carroll

Personal CRD Number: 1186198

Senior Wealth Advisor and Portfolio Manager

Ballast Rock Private Wealth LLC
460 King Street Suite 200
Charleston, SC 29403
(917) 970-1456
<https://www.ballastrockpw.com/>
jim.carroll@ballastrockpw.com

UPDATED: 09/12/202

Item 2: Educational Background and Business Experience

Name: James Bernard Carroll **Born:** 1955

Educational Background and Professional Designations:

Education:

Bachelor of Arts, Claremont McKenna College – 1977

Master of Business Administration, Harvard University - 1983

Business Background:

09/2023 - Present	Senior Wealth Advisor and Portfolio Manager Ballast Rock Private Wealth LLC
10/2019 – 08/2023	Senior Vice President Toroso Investments, LLC
09/2003 – 10/2019	Managing Partner Longrun Capital Management LLC
06/1999 – 06/2003	Chief Financial Officer Artist Direct Inc.
11/1994 – 05/1999	Investment Banker Bear, Stearns & Co. Inc.
01/1989 – 08/1994	Investment Banker Smith Barney Inc.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

James Bernard Carroll is not engaged in any business or occupation (other than this advisory firm).

Item 5: Additional Compensation

James Bernard Carroll does not receive any economic benefit from any person, company, or organization, other than Ballast Rock Private Wealth LLC in exchange for providing clients advisory services through Ballast Rock Private Wealth LLC.

Item 6: Supervision

As a representative of Ballast Rock Private Wealth LLC, James Bernard Carroll is supervised by Jason Thonssen, the firm's Chief Compliance Officer. Jason Thonssen is responsible for ensuring that James Bernard Carroll adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jason Thonssen is (425) 650- 2779.

This brochure supplement provides information about Scott Alan McClatchey that supplements the Ballast Rock Private Wealth LLC brochure. You should have received a copy of that brochure. Please contact Scott Alan McClatchey if you did not receive Ballast Rock Private Wealth LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Scott Alan McClatchey is also available on the SEC's website at www.adviserinfo.sec.gov.

Ballast Rock Private Wealth LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Scott Alan McClatchey

Personal CRD Number: 5116709

Senior Advisor

Ballast Rock Private Wealth LLC
460 King Street Suite 200
Charleston, SC 29403
(760) 259-8909
[https://www.ballastrockpw.com/
scott.mcclatchey@ballastrockpw.com](https://www.ballastrockpw.com/scott.mcclatchey@ballastrockpw.com)

UPDATED: 10/27/2023

Item 2: Educational Background and Business Experience

Name: Scott Alan McClatchey **Born:** 1959

Educational Background and Professional Designations:

Education:

Bachelors in electrical engineering, University of Illinois at Urbana-Champaign – 1982
Masters in electrical engineering, University of Illinois at Urbana-Champaign – 1984
Master of Business Administration, UCLA - 2000

Business Background:

10/2023 - Present	Senior Advisor Ballast Rock Private Wealth LLC
04/2017 – 10/2023	Investment Adviser Representative WWM Financial
12/2007 – 04/2017	Registered Representative LPL Financial

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

Item 4: Other Business Activities

In addition to duties performed for Ballast Rock Private Wealth, Scott Alan McClatchey is an independent insurance agent. This may present a potential conflict of interest insofar as Mr. McClatchey may have the incentive to sell insurance products and services to Ballast Rock Private Wealth clients. Ballast Rock Private Wealth clients are under no obligation to purchase these products and services.

Item 5: Additional Compensation

Scott Alan McClatchey may be compensated as an independent insurance agent, as noted above.

Item 6: Supervision

As a representative of Ballast Rock Private Wealth LLC, Scott Alan McClatchey is supervised by Jason Thonssen, the firm's Chief Compliance Officer. Jason Thonssen is responsible for ensuring that Scott Alan McClatchey adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jason Thonssen is (425) 650- 2779.

This brochure supplement provides information about Jamie E. Carroll that supplements the Ballast Rock Private Wealth LLC brochure. You should have received a copy of that brochure. Please contact Jamie E. Carroll if you did not receive Ballast Rock Private Wealth LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Jamie E. Carroll is also available on the SEC's website at www.adviserinfo.sec.gov.

Ballast Rock Private Wealth LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Jamie E. Carroll

Personal CRD Number: 7635355

Wealth Advisor

Ballast Rock Private Wealth LLC
460 King Street Suite 200
Charleston, SC 29403
(318) 503-8889

[https://www.ballastrockpw.com/
jamie.carroll@ballastrockpw.com](https://www.ballastrockpw.com/jamie.carroll@ballastrockpw.com)

UPDATED: 11/08/2024

Item 2: Educational Background and Business Experience

Name: Jamie E. Carroll **Born:** 1987

Educational Background and Professional Designations:

Education:

Degree in Sports Management, Texas A&M – 2009

Degree in Accounting, University of Louisiana at Monroe – 2016

Business Background:

10/2024 - Present	Wealth Advisor Ballast Rock Private Wealth LLC
11/2022 – 10/2024	PMD FA Trainee – Bus Dev Bank of America N.A.
09/2022 – 10/2024	PMD FA Trainee – Bus Dev Merrill Lynch, Pierce Fenner & Smith Inc.
04/2022 – 09/2022	Unemployed
11/2019 – 04/2022	Marketing Representative Best Home Health
01/2015 – 11/2019	Accounting/Bookkeeper John S Cattoll, CPS
02/2011 – 10/2014	Marketing Representative Orth Texas Surgeons and Physicians, LLC

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Jamie E. Carroll is engaged in other business activities, they are as follow.

Name of the other business: Swamp Rabbit Print, LLC

Whether the business is investment-related: No

Address of the other business: 403 Church St. Columbia, LA 71418

Nature of the other business: Designs and Print Services

Your position: Owner

Title, or relationship with the other business: Owner

Start date of your relationship: 1/2016

Approximate number of hours/month you devote to the other business: 10 hrs. a month

Number of hours you devote/month to the other business during securities trading hours: none

Briefly describe your duties relating to the other business: Bookkeeper

Name of the other business: Arbonne International

Whether the business is investment-related: No

Address of the other business: 9400 Jeronimo Rd. Irvine, CA 92618

Nature of the other business: Health and wellness sales

Your position: Independent consultant

Title, or relationship with the other business: IC

Start date of your relationship: 10/2015

Approximate number of hours/month you devote to the other business: 40 hrs a month

Number of hours you devote/month to the other business during securities trading hours: none

Briefly describe your duties relating to the other business: Recommend health and wellness products to clients and prospects

Item 5: Additional Compensation

Jamie E. Carroll does not receive any economic benefit from any person, company, or organization, other than Ballast Rock Private Wealth LLC in exchange for providing clients advisory services through Ballast Rock Private Wealth LLC.

Item 6: Supervision

As a representative of Ballast Rock Private Wealth LLC, Jamie E. Carroll is supervised by Jason Thonssen, the firm's Chief Compliance Officer. Jason Thonssen is responsible for ensuring that Jamie E. Carroll adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jason Thonssen is (425) 650- 2779.

This brochure supplement provides information about Troy J. Davidson that supplements the Ballast Rock Private Wealth LLC brochure. You should have received a copy of that brochure. Please contact Troy J. Davidson if you did not receive Ballast Rock Private Wealth LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Troy J. Davidson is also available on the SEC's website at www.adviserinfo.sec.gov.

Ballast Rock Private Wealth LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Troy J. Davidson

Personal CRD Number: 5633234

Wealth Advisor

Ballast Rock Private Wealth LLC
460 King Street Suite 200
Charleston, SC 29403
(318) 503-8889

[https://www.ballastrockpw.com/
troy.davidson@ballastrockpw.com](https://www.ballastrockpw.com/troy.davidson@ballastrockpw.com)

UPDATED: 04/17/2025

Item 2: Educational Background and Business Experience

Name: Troy J. Davidson **Born:** 1982

Educational Background and Professional Designations:

Education:

Master of Business Administration in Finance, San Diego State University – 2008

Bachelor of Arts in Business Management, San Diego State University – 2005

Business Background:

03/2025 - Present	Wealth Advisor Ballast Rock Private Wealth LLC
02/2018 – 03/2025	Dual Banker Charles Schwab Bank
02/2018 – 03/2025	VP Financial Consultant Charles Schwab & CO, Inc.
06/2012 – 02/2018	Investor Center Investments Representative Fidelity Brokerage Services, LLC
11/2010 – 05/2012	Bank of America, NA Financial Advisor
10/2010 – 05/2012	Merrill Lynch, Pierce, Fenner & Smith, Inc. Financial Advisor
10/2009 – 10/2010	Personal Banker JPMorgan Chase Bank

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Troy J. Davidson is not engaged in any business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Troy J. Davidson does not receive any economic benefit from any person, company, or organization, other than Ballast Rock Private Wealth LLC in exchange for providing clients advisory services through Ballast Rock Private Wealth LLC.

Item 6: Supervision

As a representative of Ballast Rock Private Wealth LLC, Troy J. Davidson is supervised by Jason Thonssen, the firm's Chief Compliance Officer. Jason Thonssen is responsible for ensuring that Troy J. Davidson adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jason Thonssen is (425) 650- 2779.

Brochure Supplements

This brochure supplement provides information about Jake Hunter Fetchen that supplements the Ballast Rock Private Wealth LLC brochure. You should have received a copy of that brochure. Please contact Jake Hunter Fetchen if you did not receive Ballast Rock Private Wealth LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Jake Hunter Fetchen is also available on the SEC's website at www.adviserinfo.sec.gov.

Ballast Rock Private Wealth LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Jake Hunter Fetchen

Personal CRD Number: 7883984

Financial Advisor

Ballast Rock Private Wealth LLC
460 King Street Suite 200
Charleston, SC 29403
(917) 970-1453
<https://www.ballastrockpw.com/>
jake.fetchen@ballastrockpw.com

UPDATED: 05/21/2026

Item 2: Educational Background and Business Experience

Name: Jake Hunter Fetchen **Born:** 2002

Educational Background and Professional Designations:

Education:

BA Finance, University of South Carolina - 2024

Business Background:

05/2026 - Present	Financial Advisor Ballast Rock Private Wealth LLC
07/2024 – 04/2026	Financial Professional 49 Financial
06/2024 - 07/2024	Unemployed Unemployed
03/2020 - 05/2024	Student Full Time Education
06/2023 - 08/2023	Busser Between the Antlers

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Jake Hunter Fetchen is also a Life Insurance Agent with NIPR. The position started in May of 2024 and is not investment related. Jake devotes a total of 20 hours per month and a total of 20 hours during securities trading hours. Jake's position duties include identifying opportunities for qualified investors.

Item 5: Additional Compensation

Jake Hunter Fetchen does not receive any economic benefit from any person, company, or organization, other than Ballast Rock Private Wealth LLC in exchange for providing clients advisory services through Ballast Rock Private Wealth LLC.

Item 6: Supervision

As a representative of Ballast Rock Private Wealth LLC, Jake Hunter Fetchen is supervised by Jason Thonssen, the firm's Chief Compliance Officer. Jason Thonssen is responsible for ensuring that Jake Hunter Fetchen adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jason Thonssen is (425) 650-2779.